



Mary-Ann Winkelmes <mary-ann.winkelmes@unlv.edu>

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## IRBNet message from Cindy Lee-Tataseo

2 messages

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**Cindy Lee-Tataseo** <no-reply@irbnet.org>

Wed, Sep 20, 2017 at 10:38 AM

Reply-To: Cindy Lee-Tataseo <cindy.lee-tataseo@unlv.edu>

To: Mary-Ann Winkelmes <mary-ann.winkelmes@unlv.edu>

Message from Cindy Lee-Tataseo:

Re: [711238-13] Transparency in Learning and Teaching Initiative

Dr. Winkelmes,

Thank you for your patience for this review. The following modifications have been reviewed and acknowledged:

1. The addition of Jennifer Weaver and Chavella Pittman to analyze anonymous data.
2. The addition of Sarah Nasrollahian, Ellen Haight, and Celeste Calkins to pperform data analysis.
3. The addition to request optional race/ethnicity information about participating faculty members with the same questions already approved for the TILT Higher Ed student survey.
4. The incorporation of an optional end-of-term survey for faculty as a formative assessment measure to inform project improvements.

PLEASE NOTE: The request to add Derek Williamson was not reviewed because an Individual Investigator Agreement was not submitted for him. Instead, an agreement for Taimi Olsen was attached. In order to add Derek Williamson and/or Taimi Olsen, please submit a separate request.

You may now include the changes 1-4 above. This does not change the exempt status of this study.

Please let me know if you have any questions.

Regards,  
Cindy Lee-Tataseo

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**Mary-Ann Winkelmes** <mary-ann.winkelmes@unlv.edu>

Wed, Sep 20, 2017 at 2:48 PM

To: Cindy Lee-Tataseo <cindy.lee-tataseo@unlv.edu>

Hello, Cindy.

Thank you very much for reviewing and acknowledging this package (protocol 711238-13, package 13). I really appreciate your help and I know how overloaded all of you at IRB are right now!

I have resubmitted the documentation for Derek Williamson and Taimi Olsen in a new package (14) that is locked and ready for review.

Derek will not see identifying information, so his CITI Certification in package 13 should be all you need. I submitted it again in the new package (14).

Taimi Olsen is already a research team member (approved in package #12, March 2017, where her CITI

certification was attached and approved). We are now giving Taimi access to some of the student ID numbers, which is why package 13 includes her Individual Investigator Agreement for that purpose. I submitted it again in the new package (14).

Regards,  
Mary-Ann Winkelmes

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[Mary-Ann Winkelmes, Ph.D.](#)

Director of Instructional Development and Research

Associate Graduate Faculty, History Department

University of Nevada, Las Vegas

4505 S. Maryland Parkway, Mail Code 1014

Las Vegas, NV 89154-1014

Phone: [\(702\) 895-4832](tel:7028954832) Fax: [702-895-3455](tel:7028953455)

Senior Fellow, Association of American Colleges & Universities

Nevada Humanities Board of Trustees Member

[Quoted text hidden]

# UNLV

## Modification Request Form

### Instructions:

1. Complete all sections of this form.
2. Submit all previously submitted documents that contain information affected by the modification(s).

### Note:

1. Handwritten and hand delivered forms **will not** be accepted.
2. INCOMPLETE FORMS WILL BE RETURNED.
3. Modification may not be implemented until you have received notification of IRB approval.
4. For your records, it is important that you keep a copy of this completed form.

### General Information

Submittal Date: 7/22/2017

Principal Investigator Name: Mary-Ann Winkelmes

Protocol Title: Transparency in Learning and Teaching project

Protocol Number: 1305-4474

Last Approval Date: 03/21/2017

Prior Approval:  Expedited Review  Full Board Review  Exempt

### Description of Modification

Type of Modification (check all that apply):

- |   |  |
|---|--|
| <input type="checkbox"/> Currently approved procedure | <input type="checkbox"/> Informed Consent                                    |
| <input type="checkbox"/> Number of subjects           | <input type="checkbox"/> Survey/Questionnaire                                |
| <input checked="" type="checkbox"/> Research Team**   | <input checked="" type="checkbox"/> Other (e.g., advertisement, flyer, etc.) |
| <input type="checkbox"/> Title                        |  |

Modification Summary

Briefly describe the modification.

We wish to add new research team members (CITI certifications are attached with an Additional Research Team Members form). Four team members will have access to student ID numbers. Investigator Assurances are included for those four people. In addition, we wish to request optional race/ethnicity information about participating faculty members (faculty form with race/ethnicity/nationality questions attached). To gather the optional race/ethnicity/nationality information, we would use the same questions already approved for the TILT Higher Ed student survey (<https://www.unlv.edu/provost/view-tilt-higher-ed-survey-questions>). Finally, we wish to incorporate an optional end-of-term survey for faculty as a formative assessment measure to inform project improvements.

\*\*Note: Addition of research team must include name(s) and role(s). Change in PI must be submitted and signed by the original PI on the protocol. Include the reason for the change in the modification summary.

### Reanalysis of Risk (check one)

- This modification **does not** increase risk to participants enrolled in this study.
- This modification **does** increase risk to participants enrolled in this study.

## Signatures of Assurance

### A. Investigator's Assurance:

I certify that the information provided in this application is complete and accurate. As Principal Investigator, I have ultimate responsibility for the conduct of this study, the ethical performance of the project, the protection of the rights and welfare of human subjects and strict adherence to any stipulations designated by the IRB. I agree to comply with all UNLV policies and procedures, as well as with all applicable Federal, State and local laws regarding the protection of human subjects in research including, but not limited to the following:

- Performing the project by qualified personnel according to the approved protocol.
- Not changing the approved protocol or consent form without prior IRB approval (except in an emergency, if necessary, to safeguard the well-being of human subjects).
- Obtaining proper informed consent from human subjects or their legally responsible representative, using only the currently approved, stamped consent form.
- Promptly reporting adverse events to the ORI – Human Subjects in writing according to IRB guidelines.
- Arranging for a co-investigator to assume direct responsibility, if the PI will be unavailable to direct this research personally, as when on sabbatical leave or vacation.

\*\*\***FACULTY ADVISOR (IF APPLICABLE):** By my signature as Principal Investigator on this research application, I certify that the student/fellow investigator is knowledgeable about the regulations and policies governing research with human subjects and has sufficient training and experience to conduct this particular study in accordance with the approved protocol. In addition:

- I agree to act as the liaison between the IRB and the student/fellow investigator with all written and verbal communications.
- I agree to meet with the student/fellow investigator on a regular basis to monitor the progress of the study.
- I agree to be available and to personally supervise the student/fellow investigator in solving problems, as they arise.
- I assure that the student/fellow investigator will promptly report adverse events to the ORI – Human Subjects according to IRB guidelines.
- I will arrange for an alternate faculty advisor to assume responsibility if I become unavailable, as when on sabbatical leave or vacation.
- I assure that the student/fellow investigator will follow through with the storage and destruction of data as outlined in the protocol.

By submitting this form electronically, I agree to the assurance as stated above.



## Additional Research Team Members Form

**Instructions:**

1. Complete all sections of this form.
2. INCOMPLETE FORMS WILL BE RETURNED.

**1. General Information**

**Research Protocol Title:** Transparency in Learning and Teaching in Higher Education Project

**Principal Investigator:** Mary-Ann Winkelmes

**2. Research Team Members:** *List all research team members (including PI) who will have contact with subjects, have contact with subjects' data or biological samples, or use subjects' personal information.*

NAME and DEPARTMENT	ROLE IN PROTOCOL	SPECIFIC EXPERIENCE WITH ROLE IN PROTOCOL	ROLE IN CONSENT PROCESS
<b>EXAMPLE:</b> Dr. Chris Researcher, Research Department	<b>EXAMPLE:</b> Developed protocol, collecting data, analyzing data, writing report	<b>EXAMPLE:</b> Has had previous research studies with human subjects	<b>EXAMPLE:</b> Recruiting subjects, writing the consent form, consenting subjects, answering questions
Jennifer Weaver, Ph.D., California Institute of Technology	analysis of anonymized data	data analysis; prior experience in research with human subjects	none
Chavella Pittman, Ph.D., Dominican University	analysis of anonymized data	data analysis; prior experience in research with human subjects	none
Sarah Nasrollahian Mojarad, University of Tennessee, Knoxville	data analysis	data analysis	none
Ellen Haight, University of Tennessee, Knoxville	data analysis	data analysis	none
Celeste Calkins, University of Nevada, Las Vegas	data analysis	data analysis	none
Derek Williamson, Ph.D., University of Alabama	data analysis	data analysis	none



Completion Date 22-May-2017  
Expiration Date 21-May-2020  
Record ID 22449338

This is to certify that:

**Jennifer Weaver**

Has completed the following CITI Program course:

**Social & Behavioral Research - Basic/Refresher** (Curriculum Group)  
**Social & Behavioral Research - Basic/Refresher** (Course Learner Group)  
**1 - Basic Course** (Stage)

Under requirements set by:

**California Institute of Technology**

CITI

Verify at [www.citiprogram.org/verify/?w72d4e8d5-c194-460b-895d-667f95d8dbff-22449338](http://www.citiprogram.org/verify/?w72d4e8d5-c194-460b-895d-667f95d8dbff-22449338)

# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS\*

\* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Chavella Pittman (ID: 1997309)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** cpittman@dom.edu
- **Institution Unit:** Sociology
- **Phone:** 7084885105
  
- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu
  
- **Record ID:** 22851672
- **Completion Date:** 26-May-2017
- **Expiration Date:** 25-May-2022
- **Minimum Passing:** 75
- **Reported Score\*:** 90

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
Belmont Report and CITI Course Introduction (ID: 1127)	11-May-2017	3/3 (100%)
History and Ethical Principles - SBE (ID: 490)	11-May-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	11-May-2017	4/5 (80%)
The Federal Regulations - SBE (ID: 502)	11-May-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	11-May-2017	4/5 (80%)
Informed Consent - SBE (ID: 504)	11-May-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	11-May-2017	5/5 (100%)
Research with Prisoners - SBE (ID: 506)	25-May-2017	4/5 (80%)
Research with Children - SBE (ID: 507)	25-May-2017	5/5 (100%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	25-May-2017	5/5 (100%)
International Research - SBE (ID: 509)	26-May-2017	5/5 (100%)
Internet-Based Research - SBE (ID: 510)	26-May-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	26-May-2017	4/4 (100%)
Conflicts of Interest in Research Involving Human Subjects (ID: 488)	26-May-2017	3/5 (60%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	26-May-2017	3/3 (100%)
UNLV (ID: 697)	26-May-2017	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: [www.citiprogram.org/verify/?k6fde7a55-0e31-4b1d-9a14-68799f3dc553-22851672](http://www.citiprogram.org/verify/?k6fde7a55-0e31-4b1d-9a14-68799f3dc553-22851672)

### Collaborative Institutional Training Initiative (CITI Program)

Email: [support@citiprogram.org](mailto:support@citiprogram.org)

Phone: 888-529-5929

Web: <https://www.citiprogram.org>

# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT\*\*

\*\* NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Chavella Pittman (ID: 1997309)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** cpittman@dom.edu
- **Institution Unit:** Sociology
- **Phone:** 7084885105
  
- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu
  
- **Record ID:** 22851672
- **Report Date:** 26-May-2017
- **Current Score\*\*:** 91

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
Introduction (ID: 757)	07-Sep-2014	No Quiz
History and Ethical Principles - SBE (ID: 490)	11-May-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	11-May-2017	4/5 (80%)
Belmont Report and CITI Course Introduction (ID: 1127)	11-May-2017	3/3 (100%)
The Federal Regulations - SBE (ID: 502)	11-May-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	11-May-2017	4/5 (80%)
Informed Consent - SBE (ID: 504)	11-May-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	11-May-2017	5/5 (100%)
Research with Prisoners - SBE (ID: 506)	25-May-2017	4/5 (80%)
Research with Children - SBE (ID: 507)	25-May-2017	5/5 (100%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	25-May-2017	5/5 (100%)
International Research - SBE (ID: 509)	26-May-2017	5/5 (100%)
Internet-Based Research - SBE (ID: 510)	26-May-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	26-May-2017	4/4 (100%)
Conflicts of Interest in Research Involving Human Subjects (ID: 488)	26-May-2017	4/5 (80%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	26-May-2017	3/3 (100%)
UNLV (ID: 697)	26-May-2017	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: [www.citiprogram.org/verify/?k6fde7a55-0e31-4b1d-9a14-68799f3dc553-22851672](http://www.citiprogram.org/verify/?k6fde7a55-0e31-4b1d-9a14-68799f3dc553-22851672)

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Phone: 888-529-5929

Web: <https://www.citiprogram.org>



# UNLV

## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Taimi Olsen

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

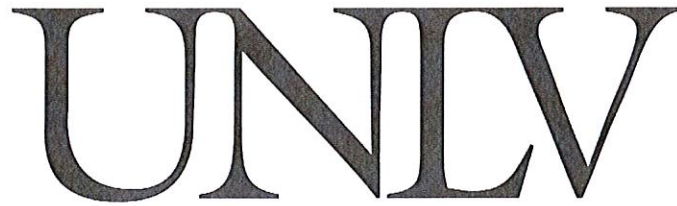
**UNLV PI Name:** Mary-Ann Winkelmes

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- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Taini Olson Date 8-26-17  
 Name: Olsen, Taini A Degree(s): Ph.D  
(Last) (First) (Middle Initial)  
 Address: 166 Chastain Rd phone #: 865-274-  
Central SC 29630 3422  
(City) (State/Province) (Zip/Country)



## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Sara Nasrollahian Mejarad

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

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- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

**Investigator Signature:** Sara Nasrollahian Date 08/31/2017

Name: Nasrollahian Mojamad Sara - Degree(s): PhD Candidate  
(Last) (First) (Middle Initial)

Address: 1700 W, Clinch Avenue, Apt #407 phone #: 865-230-2900

Knoxville TN 37916/US  
(City) (State/Province) (Zip/Country)

# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS\*

\* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Sara Nasrollahian Mojarad (ID: 3682357)
- **Institution Affiliation:** University of Tennessee-Knoxville (ID: 1658)
- **Institution Email:** snasroll@utk.edu
- **Institution Unit:** Educational Psychology
- **Phone:** 865-230-2900
  
- **Curriculum Group:** IRB Members - Basic
- **Course Learner Group:** IRB Members - Basic/Refresher
- **Stage:** Stage 2 - Refresher Course
- **Description:** This Basic Course is appropriate for IRB or Ethics Committee
  
- **Record ID:** 19954029
- **Completion Date:** 15-Nov-2016
- **Expiration Date:** 15-Nov-2019
- **Minimum Passing:** 80
- **Reported Score\*:** 89

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
SBE Refresher 2 – Informed Consent (ID: 12620)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Privacy and Confidentiality (ID: 12622)	15-Nov-2016	0/1 (0%)
SBE Refresher 2 – Assessing Risk (ID: 12624)	15-Nov-2016	0/1 (0%)
SBE Refresher 2 – Research with Prisoners (ID: 12627)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – History and Ethical Principles (ID: 12702)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Federal Regulations for Protecting Research Subjects (ID: 15040)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Defining Research with Human Subjects (ID: 15038)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research with Children (ID: 15043)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research in the Public Schools (ID: 15042)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – International Research (ID: 15045)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 - Instructions (ID: 764)	15-Nov-2016	No Quiz
Biomed Refresher 2 – History and Ethical Principles (ID: 511)	15-Nov-2016	2/3 (67%)
Biomed Refresher 2 – Regulations and Process (ID: 512)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – Informed Consent (ID: 514)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – SBR Methodologies in Biomedical Research (ID: 515)	15-Nov-2016	4/4 (100%)
Biomed Refresher 2 – Genetics Research (ID: 518)	15-Nov-2016	0/2 (0%)
Biomed Refresher 2 – Records-Based Research (ID: 516)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 - Populations in Research Requiring Additional Considerations and/or Protections (ID: 519)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Prisoners (ID: 520)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Children (ID: 521)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Pregnant Women, Human Fetuses, Neonates (ID: 522)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – FDA-Regulated Research (ID: 524)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – HIPAA and Human Subjects Research (ID: 526)	15-Nov-2016	5/5 (100%)
Biomed Refresher 2 – Conflicts of Interest in Research Involving Human Subjects (ID: 681)	15-Nov-2016	3/3 (100%)
Knoxville IRB/Human Subjects Information (ID: 16116)	15-Nov-2016	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: [www.citiprogram.org/verify/?kff7841e6-2f46-4bc6-a6a3-d1a24879a243-19954029](http://www.citiprogram.org/verify/?kff7841e6-2f46-4bc6-a6a3-d1a24879a243-19954029)

Collaborative Institutional Training Initiative (CITI Program)

Email: [support@citiprogram.org](mailto:support@citiprogram.org)

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# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT\*\*

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- **Record ID:** 19954029
- **Report Date:** 22-Jun-2017
- **Current Score\*\*:** 89

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SBE Refresher 2 – Informed Consent (ID: 12620)	15-Nov-2016	1/1 (100%)
Knoxville IRB/Human Subjects Information (ID: 16116)	15-Nov-2016	No Quiz
Biomed Refresher 2 – Regulations and Process (ID: 512)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – Informed Consent (ID: 514)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – SBR Methodologies in Biomedical Research (ID: 515)	15-Nov-2016	4/4 (100%)
Biomed Refresher 2 – Records-Based Research (ID: 516)	15-Nov-2016	3/3 (100%)
SBE Refresher 2 – Privacy and Confidentiality (ID: 12622)	15-Nov-2016	0/1 (0%)
Biomed Refresher 2 – Genetics Research (ID: 518)	15-Nov-2016	0/2 (0%)
Biomed Refresher 2 - Populations in Research Requiring Additional Considerations and/or Protections (ID: 519)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Prisoners (ID: 520)	15-Nov-2016	2/2 (100%)
SBE Refresher 2 – Assessing Risk (ID: 12624)	15-Nov-2016	0/1 (0%)
Biomed Refresher 2 – Vulnerable Subjects – Children (ID: 521)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Pregnant Women, Human Fetuses, Neonates (ID: 522)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – FDA-Regulated Research (ID: 524)	15-Nov-2016	3/3 (100%)
SBE Refresher 2 – Research with Prisoners (ID: 12627)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 – HIPAA and Human Subjects Research (ID: 526)	15-Nov-2016	5/5 (100%)
Biomed Refresher 2 – Conflicts of Interest in Research Involving Human Subjects (ID: 681)	15-Nov-2016	3/3 (100%)
SBE Refresher 2 – History and Ethical Principles (ID: 12702)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Defining Research with Human Subjects (ID: 15038)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Federal Regulations for Protecting Research Subjects (ID: 15040)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research in the Public Schools (ID: 15042)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research with Children (ID: 15043)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – International Research (ID: 15045)	15-Nov-2016	1/1 (100%)

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: [www.citiprogram.org/verify/?kff7841e6-2f46-4bc6-a6a3-d1a24879a243-19954029](http://www.citiprogram.org/verify/?kff7841e6-2f46-4bc6-a6a3-d1a24879a243-19954029)

Collaborative Institutional Training Initiative (CITI Program)

Email: [support@citiprogram.org](mailto:support@citiprogram.org)

Phone: 888-529-5929

Web: <https://www.citiprogram.org>



Completion Date 16-Aug-2017  
Expiration Date 15-Aug-2020  
Record ID 24055282

This is to certify that:

**Derek Williamson**

Has completed the following CITI Program course:

**Human Research** (Curriculum Group)  
**Non-Medical Investigators** (Course Learner Group)  
**1 - Basic Course** (Stage)

Under requirements set by:

**University of Alabama**



Verify at [www.citiprogram.org/verify/?wfc69ee9-58e5-46f8-b8ad-9b67b01621ed-24055282](http://www.citiprogram.org/verify/?wfc69ee9-58e5-46f8-b8ad-9b67b01621ed-24055282)

# UNLV

## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Ellen M. Haight

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:**1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

---

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.



- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Ellen M. Haight Date 8/24/17  
 Name: Haight Ellen M. Degree(s): PhD Candidate  
(Last) (First) (Middle Initial) IMBA, BSBA  
 Address: 2402 Queens Lace Trail phone #: 843.364.5130  
Chattanooga TN 37421  
(City) (State/Province) (Zip/Country)



Completion Date 24-Mar-2015  
Expiration Date 24-Mar-2018  
Record ID 14484728

This is to certify that:

**Ellen Haight**

Has completed the following CITI Program course:

<b>Social &amp; Behavioral Research - Basic</b>	(Curriculum Group)
<b>Social &amp; Behavioral Research - Basic/Refresher</b>	(Course Learner Group)
<b>1 - Basic Course</b>	(Stage)

Under requirements set by:

**University of Tennessee-Knoxville**

CITI

Verify at [www.citiprogram.org/verify/?w6ad6dd86-0751-4455-aba1-f3bb8c38e67e-14484728](http://www.citiprogram.org/verify/?w6ad6dd86-0751-4455-aba1-f3bb8c38e67e-14484728)



## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Celeste Calkins

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

---

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent) and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
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- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
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- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Celeste Calkins Date 08-23-2017

Name: Calkins Celeste M Degree(s): M.A.  
(Last) (First) (Middle Initial)

Address: 2312 N Green Valley Pkwy Apt 2621 phone #: 906-282-2726  
Henderson NV 89014  
(City) (State/Province) (Zip/Country)



Completion Date 21-Oct-2016  
 Expiration Date N/A  
 Record ID 21236986

This is to certify that:

**Celeste Calkins**

Has completed the following CITI Program course:

**Responsible Conduct of Research** (Curriculum Group)  
**Social and Behavioral Responsible Conduct of Research Course** (Course Learner Group)  
**1 - RCR** (Stage)

Under requirements set by:

**University of Nevada, Las Vegas**



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Completion Date 13-Apr-2015  
 Expiration Date 11-Apr-2020  
 Record ID 15762389

This is to certify that:

**Celeste Calkins**

Has completed the following CITI Program course:

**Human Research** (Curriculum Group)  
**Group 2. Social/Behavioral IRB** (Course Learner Group)  
**1 - Basic Course** (Stage)

Under requirements set by:

**University of Nevada, Las Vegas**



Verify at [www.citiprogram.org/verify/?wf13c990e-0c9e-4f0e-a24d-70fa8a59c674-15762389](http://www.citiprogram.org/verify/?wf13c990e-0c9e-4f0e-a24d-70fa8a59c674-15762389)



Completion Date 22-May-2017  
Expiration Date 21-May-2020  
Record ID 22449338

This is to certify that:

**Jennifer Weaver**

Has completed the following CITI Program course:

**Social & Behavioral Research - Basic/Refresher** (Curriculum Group)  
**Social & Behavioral Research - Basic/Refresher** (Course Learner Group)  
**1 - Basic Course** (Stage)

Under requirements set by:

**California Institute of Technology**

CITI

Verify at [www.citiprogram.org/verify/?w72d4e8d5-c194-460b-895d-667f95d8dbff-22449338](http://www.citiprogram.org/verify/?w72d4e8d5-c194-460b-895d-667f95d8dbff-22449338)

# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS\*

\* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Chavella Pittman (ID: 1997309)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** cpittman@dom.edu
- **Institution Unit:** Sociology
- **Phone:** 7084885105
  
- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu
  
- **Record ID:** 22851672
- **Completion Date:** 26-May-2017
- **Expiration Date:** 25-May-2022
- **Minimum Passing:** 75
- **Reported Score\*:** 90

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
Belmont Report and CITI Course Introduction (ID: 1127)	11-May-2017	3/3 (100%)
History and Ethical Principles - SBE (ID: 490)	11-May-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	11-May-2017	4/5 (80%)
The Federal Regulations - SBE (ID: 502)	11-May-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	11-May-2017	4/5 (80%)
Informed Consent - SBE (ID: 504)	11-May-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	11-May-2017	5/5 (100%)
Research with Prisoners - SBE (ID: 506)	25-May-2017	4/5 (80%)
Research with Children - SBE (ID: 507)	25-May-2017	5/5 (100%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	25-May-2017	5/5 (100%)
International Research - SBE (ID: 509)	26-May-2017	5/5 (100%)
Internet-Based Research - SBE (ID: 510)	26-May-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	26-May-2017	4/4 (100%)
Conflicts of Interest in Research Involving Human Subjects (ID: 488)	26-May-2017	3/5 (60%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	26-May-2017	3/3 (100%)
UNLV (ID: 697)	26-May-2017	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

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### Collaborative Institutional Training Initiative (CITI Program)

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Phone: 888-529-5929

Web: <https://www.citiprogram.org>

# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT\*\*

\*\* NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Chavella Pittman (ID: 1997309)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** cpittman@dom.edu
- **Institution Unit:** Sociology
- **Phone:** 7084885105
  
- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu
  
- **Record ID:** 22851672
- **Report Date:** 26-May-2017
- **Current Score\*\*:** 91

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
Introduction (ID: 757)	07-Sep-2014	No Quiz
History and Ethical Principles - SBE (ID: 490)	11-May-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	11-May-2017	4/5 (80%)
Belmont Report and CITI Course Introduction (ID: 1127)	11-May-2017	3/3 (100%)
The Federal Regulations - SBE (ID: 502)	11-May-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	11-May-2017	4/5 (80%)
Informed Consent - SBE (ID: 504)	11-May-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	11-May-2017	5/5 (100%)
Research with Prisoners - SBE (ID: 506)	25-May-2017	4/5 (80%)
Research with Children - SBE (ID: 507)	25-May-2017	5/5 (100%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	25-May-2017	5/5 (100%)
International Research - SBE (ID: 509)	26-May-2017	5/5 (100%)
Internet-Based Research - SBE (ID: 510)	26-May-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	26-May-2017	4/4 (100%)
Conflicts of Interest in Research Involving Human Subjects (ID: 488)	26-May-2017	4/5 (80%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	26-May-2017	3/3 (100%)
UNLV (ID: 697)	26-May-2017	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

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Phone: 888-529-5929

Web: <https://www.citiprogram.org>



# UNLV

## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Taimi Olsen

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

---

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
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- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Taini Olson Date 8-26-17  
 Name: Olsen, Taini A Degree(s): Ph.D  
(Last) (First) (Middle Initial)  
 Address: 166 Chastain Rd phone #: 865-274-  
Central SC 29630 3422  
(City) (State/Province) (Zip/Country)

# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS\*

\* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Sara Nasrollahian Mojarad (ID: 3682357)
- **Institution Affiliation:** University of Tennessee-Knoxville (ID: 1658)
- **Institution Email:** snasroll@utk.edu
- **Institution Unit:** Educational Psychology
- **Phone:** 865-230-2900
  
- **Curriculum Group:** IRB Members - Basic
- **Course Learner Group:** IRB Members - Basic/Refresher
- **Stage:** Stage 2 - Refresher Course
- **Description:** This Basic Course is appropriate for IRB or Ethics Committee
  
- **Record ID:** 19954029
- **Completion Date:** 15-Nov-2016
- **Expiration Date:** 15-Nov-2019
- **Minimum Passing:** 80
- **Reported Score\*:** 89

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
SBE Refresher 2 – Informed Consent (ID: 12620)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Privacy and Confidentiality (ID: 12622)	15-Nov-2016	0/1 (0%)
SBE Refresher 2 – Assessing Risk (ID: 12624)	15-Nov-2016	0/1 (0%)
SBE Refresher 2 – Research with Prisoners (ID: 12627)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – History and Ethical Principles (ID: 12702)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Federal Regulations for Protecting Research Subjects (ID: 15040)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Defining Research with Human Subjects (ID: 15038)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research with Children (ID: 15043)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research in the Public Schools (ID: 15042)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – International Research (ID: 15045)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 - Instructions (ID: 764)	15-Nov-2016	No Quiz
Biomed Refresher 2 – History and Ethical Principles (ID: 511)	15-Nov-2016	2/3 (67%)
Biomed Refresher 2 – Regulations and Process (ID: 512)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – Informed Consent (ID: 514)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – SBR Methodologies in Biomedical Research (ID: 515)	15-Nov-2016	4/4 (100%)
Biomed Refresher 2 – Genetics Research (ID: 518)	15-Nov-2016	0/2 (0%)
Biomed Refresher 2 – Records-Based Research (ID: 516)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 - Populations in Research Requiring Additional Considerations and/or Protections (ID: 519)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Prisoners (ID: 520)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Children (ID: 521)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Pregnant Women, Human Fetuses, Neonates (ID: 522)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – FDA-Regulated Research (ID: 524)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – HIPAA and Human Subjects Research (ID: 526)	15-Nov-2016	5/5 (100%)
Biomed Refresher 2 – Conflicts of Interest in Research Involving Human Subjects (ID: 681)	15-Nov-2016	3/3 (100%)
Knoxville IRB/Human Subjects Information (ID: 16116)	15-Nov-2016	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

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Collaborative Institutional Training Initiative (CITI Program)

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# COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

## COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT\*\*

\*\* NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Sara Nasrollahian Mojarad (ID: 3682357)
- **Institution Affiliation:** University of Tennessee-Knoxville (ID: 1658)
- **Institution Email:** snasroll@utk.edu
- **Institution Unit:** Educational Psychology
- **Phone:** 865-230-2900
  
- **Curriculum Group:** IRB Members - Basic
- **Course Learner Group:** IRB Members - Basic/Refresher
- **Stage:** Stage 2 - Refresher Course
- **Description:** This Basic Course is appropriate for IRB or Ethics Committee
  
- **Record ID:** 19954029
- **Report Date:** 22-Jun-2017
- **Current Score\*\*:** 89

### REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES

	MOST RECENT	SCORE
Biomed Refresher 2 - Instructions (ID: 764)	15-Nov-2016	No Quiz
Biomed Refresher 2 – History and Ethical Principles (ID: 511)	15-Nov-2016	2/3 (67%)
SBE Refresher 2 – Informed Consent (ID: 12620)	15-Nov-2016	1/1 (100%)
Knoxville IRB/Human Subjects Information (ID: 16116)	15-Nov-2016	No Quiz
Biomed Refresher 2 – Regulations and Process (ID: 512)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – Informed Consent (ID: 514)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – SBR Methodologies in Biomedical Research (ID: 515)	15-Nov-2016	4/4 (100%)
Biomed Refresher 2 – Records-Based Research (ID: 516)	15-Nov-2016	3/3 (100%)
SBE Refresher 2 – Privacy and Confidentiality (ID: 12622)	15-Nov-2016	0/1 (0%)
Biomed Refresher 2 – Genetics Research (ID: 518)	15-Nov-2016	0/2 (0%)
Biomed Refresher 2 - Populations in Research Requiring Additional Considerations and/or Protections (ID: 519)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Prisoners (ID: 520)	15-Nov-2016	2/2 (100%)
SBE Refresher 2 – Assessing Risk (ID: 12624)	15-Nov-2016	0/1 (0%)
Biomed Refresher 2 – Vulnerable Subjects – Children (ID: 521)	15-Nov-2016	3/3 (100%)
Biomed Refresher 2 – Vulnerable Subjects – Pregnant Women, Human Fetuses, Neonates (ID: 522)	15-Nov-2016	2/2 (100%)
Biomed Refresher 2 – FDA-Regulated Research (ID: 524)	15-Nov-2016	3/3 (100%)
SBE Refresher 2 – Research with Prisoners (ID: 12627)	15-Nov-2016	1/1 (100%)
Biomed Refresher 2 – HIPAA and Human Subjects Research (ID: 526)	15-Nov-2016	5/5 (100%)
Biomed Refresher 2 – Conflicts of Interest in Research Involving Human Subjects (ID: 681)	15-Nov-2016	3/3 (100%)
SBE Refresher 2 – History and Ethical Principles (ID: 12702)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Defining Research with Human Subjects (ID: 15038)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Federal Regulations for Protecting Research Subjects (ID: 15040)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research in the Public Schools (ID: 15042)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – Research with Children (ID: 15043)	15-Nov-2016	1/1 (100%)
SBE Refresher 2 – International Research (ID: 15045)	15-Nov-2016	1/1 (100%)

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

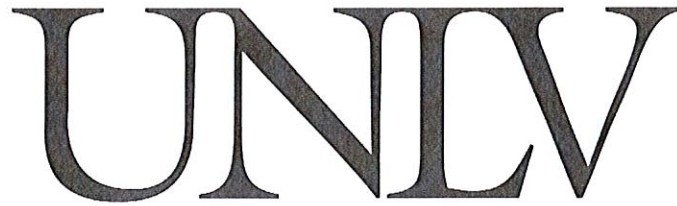
Verify at: [www.citiprogram.org/verify/?kff7841e6-2f46-4bc6-a6a3-d1a24879a243-19954029](http://www.citiprogram.org/verify/?kff7841e6-2f46-4bc6-a6a3-d1a24879a243-19954029)

### Collaborative Institutional Training Initiative (CITI Program)

Email: [support@citiprogram.org](mailto:support@citiprogram.org)

Phone: 888-529-5929

Web: <https://www.citiprogram.org>



## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Sara Nasrollahian Mejarad

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

---

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

**Investigator Signature:** Sara Nasrollahian Date 08/31/2017

Name: Nasrollahian Mojamad Sara - Degree(s): PhD Candidate  
(Last) (First) (Middle Initial)

Address: 1700 W, Clinch Avenue, Apt #407 phone #: 865-230-2900

Knoxville TN 37916/US  
(City) (State/Province) (Zip/Country)

# UNLV

## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Ellen M. Haight

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

---

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
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**Investigator Signature:** Ellen M. Haight Date 8/24/17  
Name: Haight Ellen M. Degree(s): PhD Candidate  
(Last) (First) (Middle Initial) IMBA, BSBA  
Address: 2402 Queens Lace Trail phone #: 843.364.5130  
Chattanooga TN 37421  
(City) (State/Province) (Zip/Country)





Completion Date 16-Aug-2017  
Expiration Date 15-Aug-2020  
Record ID 24055282

This is to certify that:

**Derek Williamson**

Has completed the following CITI Program course:

**Human Research** (Curriculum Group)  
**Non-Medical Investigators** (Course Learner Group)  
**1 - Basic Course** (Stage)

Under requirements set by:

**University of Alabama**



Verify at [www.citiprogram.org/verify/?wfc69ee9-58e5-46f8-b8ad-9b67b01621ed-24055282](http://www.citiprogram.org/verify/?wfc69ee9-58e5-46f8-b8ad-9b67b01621ed-24055282)



Completion Date 21-Oct-2016  
 Expiration Date N/A  
 Record ID 21236986

This is to certify that:

**Celeste Calkins**

Has completed the following CITI Program course:

<b>Responsible Conduct of Research</b>	(Curriculum Group)
<b>Social and Behavioral Responsible Conduct of Research Course</b>	(Course Learner Group)
<b>1 - RCR</b>	(Stage)

Under requirements set by:

**University of Nevada, Las Vegas**



Verify at [www.citiprogram.org/verify/?wc336e2d9-51d7-4810-9cef-1dd275296ae4-21236986](http://www.citiprogram.org/verify/?wc336e2d9-51d7-4810-9cef-1dd275296ae4-21236986)



Completion Date 13-Apr-2015  
 Expiration Date 11-Apr-2020  
 Record ID 15762389

This is to certify that:

**Celeste Calkins**

Has completed the following CITI Program course:

<b>Human Research</b>	(Curriculum Group)
<b>Group 2. Social/Behavioral IRB</b>	(Course Learner Group)
<b>1 - Basic Course</b>	(Stage)

Under requirements set by:

**University of Nevada, Las Vegas**



Verify at [www.citiprogram.org/verify/?wf13c990e-0c9e-4f0e-a24d-70fa8a59c674-15762389](http://www.citiprogram.org/verify/?wf13c990e-0c9e-4f0e-a24d-70fa8a59c674-15762389)



Completion Date 24-Mar-2015  
Expiration Date 24-Mar-2018  
Record ID 14484728

This is to certify that:

**Ellen Haight**

Has completed the following CITI Program course:

<b>Social &amp; Behavioral Research - Basic</b>	(Curriculum Group)
<b>Social &amp; Behavioral Research - Basic/Refresher</b>	(Course Learner Group)
<b>1 - Basic Course</b>	(Stage)

Under requirements set by:

**University of Tennessee-Knoxville**

CITI

Verify at [www.citiprogram.org/verify/?w6ad6dd86-0751-4455-aba1-f3bb8c38e67e-14484728](http://www.citiprogram.org/verify/?w6ad6dd86-0751-4455-aba1-f3bb8c38e67e-14484728)



## Individual Investigator Assurance for Collaborative Exempt Research

**Name of Institution with the Federalwide Assurance (FWA):** The University of Nevada, Las Vegas

**Applicable FWA #:** FWA00002305

**Individual Investigator's Name:** Celeste Calkins

**Specify Research Covered by this Agreement (title):** Transparency in Learning and Teaching in Higher Education

**UNLV Protocol Number:** 1305-4474

**UNLV PI Name:** Mary-Ann Winkelmes

---

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent) and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
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- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Celeste Calkins Date 08-23-2017

Name: Calkins Celeste M Degree(s): M.A.  
(Last) (First) (Middle Initial)

Address: 2312 N Green Valley Pkwy Apt 2621 phone #: 906-282-2726  
Henderson NV 89014  
(City) (State/Province) (Zip/Country)

yellow highlighting indicates proposed additional questions

## Transparency in Learning and Teaching in Higher Education Sign-up

*Welcome to the Transparency Project! We're delighted to have your participation. Please provide information (below) about your participating course.*

Mary-Ann Winkelmes, Principal Investigator

Please be assured that your identity remains confidential in this project, and that your students' identities are also kept confidential. Neither your name nor your students' names will be used in any public reports, presentations or publications resulting from the Transparency Project without express written permission. You may view the Institutional Review Board approvals [here](#).

Course Name

Course Number (with no spaces, please)

Optional: Section number (with no spaces, please)

In which term do you teach this course?

- Fall 2017
- Winter/Spring 2017

Please choose just one of these ways to participate at your own discretion. (Please select the one option that you'll use most often.)

[Click here](#) for descriptions of these options.

- Control group. (I will not change my teaching.)
- Discuss assignments' learning goals and design rationale before students begin working on an assignment or class activity. (See examples.)
- Shared class planning, agenda construction
- Real-time, in-class assessment of students' understanding
- Explicitly connecting "how people learn" data with course activities
- Grading practices and criteria are defined and discussed, with opportunities for students to apply them
- In-class debriefing discussions about graded tests and assignments
- Running commentary on class discussions, to indicate modes of thought or disciplinary methods used
- Other: please describe how you wish to participate.

The disciplinary category that most closely matches the discipline of this course is:

- 1. Humanities and Arts
- 2. Social and Behavioral Sciences
- 3. Physical Sciences, Mathematics and Engineering
- 4. Life Sciences

The specific sub-discipline (or home department) of this course is (optional):

yellow highlighting indicates proposed additional questions

The level of this course is:

- a. Introductory undergraduate course
- b. Intermediate and/or advanced level undergraduate
- c. Graduate level course
- Other

Class meetings for this course happen

- online
- onsite
- both (a "blended" online and onsite course)

The approximate number of students taking this course is:

Please provide your first and last name:

Please enter your email address:

In what country is the institution at which you teach this course located?

- U.S.
- Other. Please indicate the country here:

Institution/school name at which you teach this course:

If your institution is in the US, please enter the US state in which the institution is located (two-letter state abbreviation, please).

The last meeting of this course happens on this date (month/date/year):

I wish to join an optional informational discussion with other participating instructors.

- Yes
- No

Optional:

Are you a person of Hispanic, Latino, or Spanish origin?

- o No, not of Hispanic, Latino, or Spanish origin
- o Yes, Mexican, Mexican American, Chicano/a
- o Yes, Puerto Rican
- o Yes, Cuban
- o Yes, another Hispanic, Latino, or Spanish origin -- for example: Argentinean, Colombian, Dominican, Nicaraguan, Salvadoran, Spaniard, and so on. Please

indicate:

• With which of the following racial/ethnic groups (from the US Census categories below) do you identify? Please select all that apply.

- o White
- o Black, or African American
- o American Indian or Alaska Native. Please enter the name of your enrolled or

yellow highlighting indicates proposed additional questions

principal tribe:

- o Asian Indian
- o Chinese
- o Filipino
- o Other Asian -- for example, Hmong, Laotian, Thai, Pakistani, Cambodian, and so on . Please indicate:
- o Japanese
- o Korean
- o Vietnamese
- o Native Hawaiian
- o Guamanian or Chamorro
- o Samoan
- o Other Pacific Islander -- for example, Fijian, Tongan, and so on . Please indicate:
- o Middle Eastern / North African (MENA)
- o Some other race. Please indicate :

- Are you a citizen of the country in which this course is taught?

Response options: Yes, No, I prefer not to respond

I have seen the following Information and Consent information and I indicate my voluntary participation by clicking "Yes" below.

## INFORMATION AND CONSENT

**Purpose and Investigators:** The Transparency in Teaching and Learning in Higher Education project is a study that researches how higher education students understand their own learning processes, and how instructors can enhance that understanding. Your responses will help instructors and institutions improve students' learning experiences. Please contact the study's principal investigator, Dr. Mary-Ann Winkelmes, Coordinator of Instructional Development and Research in the Office of Faculty, Policy and Research, Office of the Provost, University of Nevada, Las Vegas (Phone: 702-895-3496. Fax: 702-895-3455) [Mary-Ann.Winkelmes@unlv.edu](mailto:Mary-Ann.Winkelmes@unlv.edu) with any questions or concerns about the research. If you have any questions about your rights as a participant in this study, please contact the Office of Research Integrity, University of Nevada, Las Vegas – Human Subjects at 702-895-2794 or by email at [IRB@unlv.edu](mailto:IRB@unlv.edu).

**Procedures, Dissemination and Confidentiality:** By clicking the "submit" button at the bottom of the online registration form, you indicate your voluntary participation in the Transparency in Learning and Teaching in Higher Education Project (*TILT* Higher Ed). You will invite your students at the end of term to complete a 9-11-minute online survey located at a URL that will be provided to you, and at the end of term you will be asked how frequently (if at all) you chose to implement transparent modes of teaching and learning in your course. The investigators will keep your identity confidential. Your data will remain anonymous and will be shared only in aggregate form. Your name will not be used in any presentations or publications by *TILT* Higher Ed research team members, nor will it be shared with your institution's Review Board or other administrators, unless you offer your written permission to be identified. Your students' identities will remain confidential. never be recorded or tracked, unless they are UNLV students. Dr. Winkelmes will remove all identifiers from their information after linking their



yellow highlighting indicates proposed additional questions

responses with their course grade and retention data, rendering all responses anonymous. Anonymous averages of students' responses, only in aggregate form, will be shared with course instructors only after grades have been submitted to the institution's registrar or equivalent. Data will be stored on a secured server at the University of Nevada, Las Vegas, accessible only through a password protected account on a password protected computer, and also in a locked cabinet in Dr. Winkelmes's office. Data will be preserved for the duration of this ten-year study (2009-2019). Dr. Winkelmes and several collaborators will code and analyze data, interpret the findings, and disseminate the study's context, purpose, methods, findings, limitations, and conclusions through presentations and publications in higher education conferences, journals, and/or books. No individual names of *TILT* Higher Ed participants will be identified in any reports, presentations, or publications.

Benefits/Risks and Voluntary Participation: Your participation in this research is voluntary. Your decision to participate, decline, or withdraw from participation will have no impact on your present or future relations with the University of Nevada, Las Vegas in any way. There are no known risks from participation in this study beyond those that exist in normal daily life. There may not be immediate direct benefits to you as a participant. You may benefit by becoming more aware of your own teaching practices and how these impact your students' learning. You may terminate your participation at any time.

I HAVE READ THE INFORMATION ABOVE AND CONFIRM THE FOLLOWING STATEMENTS:

- My participation is entirely voluntary.
- I may refuse to participate or may discontinue participation at any time during the project without penalty.
- My confidentiality will be preserved by the investigators.
- The investigator will disseminate aggregate data from this project in reports of this research at professional meetings and in professional publications, and the names of participants will not be revealed.
- By submitting this online registration form, I indicate my voluntary participation.

Please print a copy of this consent form for your records.

- Yes
- No

Survey Powered By [Qualtrics](#)

>> >>

(Proposed Qualtrics Survey)

**Faculty Survey end-of-term TILT Higher Ed**

Did you use transparent teaching/learning methods in your course?

- Yes
- No. (Please answer only the following question and then directly submit your response.)

If "No," (Please answer only the following question and then directly submit your response.)

Why not? What were the barriers to implementing transparency in this case?

If "Yes,"

How often did you implement transparency?

- once
- twice
- every week
- Other: Please indicate how often you implemented transparency.

Please describe how you implemented transparent learning/teaching methods.

What benefits did you and your students experience from using transparent teaching/learning methods?

What drawbacks or challenges did you notice?

What suggestions for improvement can you offer?

- **I have seen the Information and Consent information that follows and I indicate my voluntary participation by clicking the box below, "Submit my responses."**

**Information and Consent**

**Purpose and Investigator:**

The Transparency in Learning and Teaching in Higher Education project is a study that researches how higher education students understand their own learning processes, and how instructors can enhance that understanding. Your responses will

help instructors and institutions improve students' learning experiences. Please contact the study's principal investigator, Dr. Mary-Ann Winkelmes, Director of Instructional Development and Research in the Office of Faculty, Policy and Research, Office of the Provost, University of Nevada, Las Vegas (Phone: 702-895-3496. Fax: 702-895-3455) **Mary-Ann.Winkelmes@unlv.edu** with any questions or concerns about the research. If you have any questions about your rights as a participant in this study, please contact the Office of Research Integrity, University of Nevada, Las Vegas – Human Subjects at 702-895-2794 or by email at IRB@unlv.edu

**Procedures, Dissemination and Confidentiality:**

You are selected and invited to participate in short survey because you signed up to participate in the Transparency in Learning and Teaching Project (**TILT** Higher Ed). Data from this survey will be preserved for the duration of this ten-year study (2009-2019). Dr. Winkelmes and collaborators will code and analyze data, interpret the findings, and disseminate the study's context, purpose, methods, findings, limitations, and conclusions through presentations and publications in higher education conferences, journals, and/or books. No individual participants will be identified in any presentations or publications without the individual's written consent.

**Benefits/Risks and Voluntary Participation:**

Your participation in this research is voluntary. Your decision to participate, decline, or withdraw from participation will have no impact on your present or future relations with the **TILT** Higher Ed Project or the University of Nevada, Las Vegas in any way. There are no known risks from participation in this study beyond those that exist in normal daily life. There may not be immediate direct benefits to you as a participant.

**I HAVE READ THE INFORMATION ABOVE AND CONFIRM THE FOLLOWING STATEMENTS:** My participation is entirely voluntary. I may refuse to participate or may discontinue participation at any time during the project without penalty, simply by closing my browser. I may skip any questions that I don't wish to answer. My identity will never be recorded or connected with my responses. I am 18 years of age or older. The investigator will disseminate aggregate data from this survey in reports of this research at professional meetings and in professional publications, and the names of participants will not be recorded or revealed. I indicate my voluntary participation by selecting "Submit" below.

Thank you very much for your feedback. We will use it to improve the *TILT* Higher Ed project.

Sincerely,  
Mary-Ann Winkelmes